

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application or Docket Number  
*89476044*

## PATENT APPLICATION FEE DETERMINATION RECORD

Substitute for Form PTO-875

## CLAIMS AS FILED - PART I

(Column 1) (Column 2)

FOR	NUMBER FILED	NUMBER EXTRA
BASIC FEE (37 CFR 1.16(a))		
TOTAL CLAIMS (37 CFR 1.16(c))	minus 20 =	
INDEPENDENT CLAIMS (37 CFR 1.16(d))	minus 3 =	
MULTIPLE DEPENDENT CLAIM PRESENT		(37 CFR 1.16(e))

\* If the difference in column 1 is less than zero, enter "0" in column 2.

## CLAIMS AS AMENDED - PART II

(Column 1) (Column 2) (Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.16(c))	30	Minus	50	/
Independent (37 CFR 1.16(d))	3	Minus	3	/
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(e))				

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.16(c))	30	Minus	50	/
Independent (37 CFR 1.16(d))	3	Minus	3	/
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(e))				

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total (37 CFR 1.16(c))	30	Minus	50	/
Independent (37 CFR 1.16(d))	3	Minus	3	/
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(e))				

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

BEST AVAILABLE COPY

Serial No 09/470,041  
 In reply to Office Action mailed December 7, 2004  
 Page 1 of 9

RECEIVED  
 CENTRAL FAX CENTER  
 MAR 04 2005

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Ng et al.  
 Ser. #: 09/470,041  
 Filed: December 22, 1999  
 For: INITIATION OF BIDDING IN A  
 VIRTUAL TRADE FINANCIAL  
 ENVIRONMENT

Examiner: Cuff, Michael A.

Art Unit: 3627

Atty. Docket #: 60021-339701  
 (AND1P397)

*Janet Bynum*  
 Mail Stop AF  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

## Certificate of Transmission

I certify that this paper is being transmitted by facsimile to MS  
 AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
 22313-1450 via the facsimile number 703-872-9306, on March 4,  
 2005.

Janet Bynum

## AMENDMENT AND RESPONSE AFTER FINAL

Dear Sir:

This paper is responsive to the Final Office Action dated December 7, 2004 and Advisory Action dated February 9, 2005.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 8 of this paper.

PTO/SB/0 (10-01)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL</b>		<b>Application Number</b>	09/470,041
Address to:  MS RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450		<b>Filing date</b>	December 22, 1999
		<b>First Named Inventor</b>	William Ng
		<b>Art Unit</b>	3627
		<b>Examiner Name</b>	Michael A. Cuff
		<b>Attorney Docket Number</b>	60021-339701

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1985, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

**1. Submission required under 37 CFR 1.114**

a.  Previously submitted  
 i.  Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on March 4, 2005.  
 (Any unentered amendment(s) referred to above will be entered).  
 ii.  Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_.

b.  Enclosed  
 i.  Amendment(s)/Reply  
 ii.  Affidavit(s)/Declaration(s)      iii.  Information Disclosure Statement (IDS)  
 iv.  Other \_\_\_\_\_ and Returned Postcard.

**2. Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(l) required)  
 b.  Other \_\_\_\_\_.

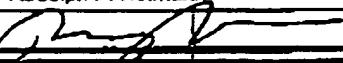
**3. Fees**

a.  The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-1901.  
 i.  RCE fee required under 37 CFR 1.17(e). - \$790  
 ii.  Extension of time fee (37 CFR 1.138 and 1.17) \$120.  
 iii.  Other \_\_\_\_\_.

b.  Check in the amount of \$\_\_\_\_\_ enclosed.  
 c.  Payment by credit card (Form PTO-2038 enclosed)

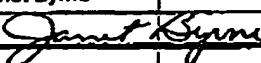
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Rudolph P. Hotmann	Registration No. (Attorney/Agent)	38,187
Signature		Date:	April 5, 2005

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: MS RCE, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Janet Byrne		
Signature		Date:	April 5, 2005

PAGE 200 RCVD AT 4/5/2005 12:20:49 PM [Eastern Daylight Time] SVR:USPTO-EFXRF-1/3 DNIS:8729306 CSID:6126077100 DURATION (mm:ss):01:06

OPENNET/EMR 7312139 v01 04/05/2005

04/11/2005 SCALLIHA 00000007 501901 09470041

01 FC:1801 790.00 DA  
02 FC:1251 120.00 DA